

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity **-Brooks Laboratories Limited**
2. Quarter ending **- 30-Sep-2021**

i. Composition Of Board Of Director

T i t l e (M r / M s)	N a m e o f t h e D i r e c t o r	D I N	P A N	C a t e g o r y (C h a i r p e r s o n / E x e c u t i v e / N o n - E x e c u t i v e / I n d e p e n d e n t / N o m i n e e)	S u b C a t e g o r y	I n i t i a l D a t e o f A p p o i n t m e n t	D a t e o f A p p o i n t m e n t	D a t e o f c e s s a t i o n	T e n u r e	D a t e o f B i r t h	W h e t h e r s p e c i a l r e s o l u t i o n p a s s e d ?	D a t e o f p a s s i n g s p e c i a l r e s o l u t i o n	N o. o f D i r e c t o r s h i p i n l i s t e d e n t i t i e s i n c l u d i n g t h i s l i s t e d e n t i t y	N o o f I n d e p e n d e n t D i r e c t o r s h i p i n l i s t e d e n t i t i e s i n c l u d i n g t h i s l i s t e d e n t i t y	N o o f f o r m e r s h i p i n A u d i t / S t a k e h o l d e r C o m m i t t e e (s i n c l u d i n g t h i s l i s t e d e n t i t y	N o o f p o s t f o r e s o n i n A u d i t / S t a k e h o l d e r C o m m i t t e e h e l d i n l i s t e d e n t i t i e s i n c l u d i n g t h i s l i s t e d e n t i t y	M e m b e r s h i p i n C o m m i t t e e s o f t h e C o m p a n y	R e m a r k s
M r.	A T U L R A N C H A L	0 1 9 9 8 3 6 1	A D M P R 5 3 5 9	C & ED		23- Jan - 200 2	01- Nov- 2020		6 0	0 9- O c t - 1 9 6 7	NA		1	0	0	0		

			L														
M r.	RA JES H M AH AJ AN	0 2 0 0 6 3 4	A F G P M 8 0 6 0 D	ED	M D	23- Jan - 200 2	01- Nov- 2020		6 0	1 9- Ju l- 1 9 6 9	NA		1	0	2	0	AC,SC
M r.	M AN PR EE T SI NG H NA RO O	0 2 9 2 6 5 7 4	A B Q P N 8 6 2 3 F	ED		03- Sep - 202 1			6 0	2 9- Ja n- 1 9 7 1	NA		1	0	0	0	
M rs .	KA US HA LY A SI NG H	0 9 2 4 4 5 9 6	B L Q P S 6 5 4 6 F	ED		03- Sep - 202 1			6 0	0 1- Ja n- 1 9 6 6	NA		1	0	0	0	
M r.	RA JNI SH KU M AR BE DI	0 5 2 8 7 3 6 9	A K Q P B 7 3 7 9 D	ID		08- Au g- 201 4	08- Aug- 2019		6 0	0 4- Feb - 1 9 6 9	NA		1	1	2	2	AC,SC ,NRC
M r.	DE EP AK M AH AJ AN	0 6 7 0 2 3 8 9	A E L P M 6 2 0	ID		08- Au g- 201 4	08- Aug- 2019		6 0	1 5- Mar - 1 9 7	NA		1	1	2	0	AC,SC ,NRC

			3 Q						0								
M rs .	SO NI A GU PT A	0 6 9 9 8 4 2 0	A P U P G 7 8 9 2 M	ID		29- Sep - 201 4	30- Sep- 2019		6 0	2 8- Ja n- 1 9 8 1	NA		1	1	0	0	NRC

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	RAJNISH KUMAR BEDI	ID	Chairperson	08-Aug-2019	
2	RAJESH MAHAJAN	ED	Member	01-Nov-2020	
3	DEEPAK MAHAJAN	ID	Member	08-Aug-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	RAJNISH KUMAR BEDI	ID	Chairperson	08-Aug-2019	
2	RAJESH MAHAJAN	ED	Member	01-Nov-2020	
3	DEEPAK MAHAJAN	ID	Member	08-Aug-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
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Company Remarks	
Whether Permanent	

chairperson appointed	
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d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	RAJNISH KUMAR BEDI	ID	Chairperson	08-Aug-2019	
2	DEEPAK MAHAJAN	ID	Member	08-Aug-2019	
3	SONIA GUPTA	ID	Member	30-Sep-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
12-Apr-2021	13-Jul-2021	Yes	3	1
30-Jun-2021	14-Aug-2021	Yes	3	2
	03-Sep-2021	Yes	3	3

Company Remarks	
Maximum gap between any two consecutive (in number of days)	31

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	11-Jun-2021		Yes	1	2
Audit Committee	30-Jun-2021		Yes	1	2
Audit Committee		14-Aug-2021	Yes	1	2
Nomination & Remuneration Committee		03-Sep-2021	Yes	0	3

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	44

v. Related Party Transactions

Subject	Compliance status (Yes/No)
Whether prior approval of audit committee obtained	Yes

Whether shareholder approval obtained for material RPT	Yes
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	Company has taken Omnibus Approval in Audit Committee meeting held on 14.08.2021 , which will be reviewed by the committee in the subsequent quarter.
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VI. Affirmations

- The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
- The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - Audit Committee - **Yes**
 - Nomination & remuneration committee - **Yes**
 - Stakeholders relationship committee - **Yes**
 - Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
- The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
- The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
- This report and/or the reports submitted in the previous quarter have been placed before Board of Directors. - **Yes**
 - Any comments/observations/advice of Board of Directors may be mentioned here:

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Name : Jyoti Sancheti
Designation : Company Secretary & Compliance Officer

ANNEXURE III

Affirmations

Broad Heading	Regulation Number	Compliance Status	Company Remark
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	

Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

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Name : Jyoti Sancheti
Designation : Company Secretary & Compliance Officer

ANNEXURE IV

%symbol%	%companyName%	%quarterEnded%
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(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to

Aggregate amount advanced during six months	Balance outstanding at the end of six months
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<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>
0	0	0	0	0	0	0	0

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>	0	0	0
<i>Promoter Group or any other entity controlled by them</i>	Corporate Guarantee	1939	1939
<i>Directors (including relatives) or any other entity controlled by them</i>	0	0	0
<i>KMPs or any other entity controlled by them</i>	0	0	0

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months

Promoter or any other entity controlled by them	0	0	0
Promoter Group or any other entity controlled by them	0	0	0
Directors (including relatives) or any other entity controlled by them	0	0	0
KMPs or any other entity controlled by them	0	0	0

(D) If the Listed Entity would like to provide any other information the same may be indicated here

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Affirmations

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company

Company Remarks in case of non-compliant status

No loan or Guarantee given to any Promoters, Promoter group, Directors, KMPs or any other entity controlled by them except a Corporate guarantee of Rs.19.39 crs given in favour of Brooks Steriscience Limited, Wholly owned Subsidiary of Brooks Laboratories Limited.

Name: Prashant Rathi
Designation: Chief Financial Officer
Place: Mumbai
Date: 08-Oct-2021